

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

SISU CAPITAL, LLC, et al.,

Defendants.

Case No. [23-cv-03855-JSC](#)

**PRETRIAL ORDER NO. 1: CASE
SCHEDULE**

Following the Further Case Management Conference held on September 5, 2024, IT IS
ORDERED:

I. CASE MANAGEMENT SCHEDULE

Deadline to Move to Amend Pleadings: October 16, 2024

Fact Discovery Cut-Off: April 16, 2025

Expert Witness Disclosures: May 16, 2025

Rebuttal Expert Witness Disclosures: June 16, 2025

Expert Discovery Cutoff: July 16, 2025

Deadline for Hearing Dispositive Motions: September 4, 2025

At the parties' request, they are referred to Magistrate Judge Cisneros for a settlement
conference on September 26, 2024, to coincide with Mr. Hans Overturf's visit to the United
States. Judge Cisneros will contact the parties.

A further Case Management Conference is scheduled for November 14, 2024 at 1:30 p.m.
via Zoom video. An updated Joint Case Management Conference Statement is due November 7,
2024.

II. DISCOVERY DEADLINES

All depositions must be noticed at least 30 days before the close of fact discovery. Any

discovery-related letter briefs or motions are due one week after the close of fact discovery. The same rules apply to expert discovery. *See* N.D. Cal. Civ. L.R. 37-3.

III. TRIAL DATE

A. Jury trial will begin on October 27, 2025, at 8:30 a.m., in Courtroom 8, 19th Floor, U.S. District Court, 450 Golden Gate, San Francisco, California.

B. The Court is expecting the length of the trial to not exceed 10 court days.

IV. PRETRIAL CONFERENCE

A Final Pretrial Conference shall be held on October 16, 2025, at 2:00 p.m., in Courtroom 8, 19th Floor. Lead trial counsel for each party shall attend.

A. At least seven days prior to date of the Final Pretrial Conference the parties shall do the following:

1. In lieu of preparing a Joint Pretrial Conference Statement, the parties shall meet and confer in person, and then prepare and file a jointly signed Proposed Final Pretrial Order that contains: (a) a brief description of the substance of claims and defenses which remain to be decided; (b) a statement of all relief sought; (c) all stipulated facts; (d) a joint exhibit list in numerical order, including a brief description of the exhibit and Bates numbers, a blank column for when it will be offered into evidence, a blank column for when it may be received into evidence, and a blank column for any limitations on its use; and (e) each party's separate witness list for its case-in-chief witnesses (including those appearing by deposition), including, for all such witnesses (other than party plaintiffs or defendants), a short statement of the substance of his/her testimony and, separately, what, if any, non-cumulative testimony the witness will offer. For each witness, state an hour/minute time estimate for the direct examination (only). Items (d) and (e) should be submitted as appendices to the proposed order. The proposed order should also state which issues, if any, are for the Court to decide, rather than the jury.

2. File a joint set of proposed instructions on substantive issues of law arranged in a logical sequence. If undisputed, an instruction shall be identified as "Stipulated Instruction No. ____ Re _____," with the blanks filled in as appropriate. If disputed, each version of the instruction shall be inserted together, back to back, in their logical place in the

overall sequence. Each such disputed instruction shall be identified as, for example, “Disputed Instruction No. ____ Re ____ Offered by _____,” with the blanks filled in as appropriate. All disputed versions of the same basic instruction shall bear the same number. Any modifications to a form instruction must be plainly identified. If a party does not have a counter version and simply contends that no such instruction in any version should be given, then that party should so state (and explain why) on a separate page inserted in lieu of an alternate version. With respect to form preliminary instructions, general instructions, or concluding instructions, please simply cite to the numbers of the requested instructions in the current edition of the Ninth Circuit Model Jury Instructions. Other than citing the numbers, the parties shall not include preliminary, general, or concluding instructions in the packet.

3. File a separate memorandum of law in support of each party’s disputed instructions, if any, organized by instruction number.

4. File a joint set of proposed voir dire questions supplemented as necessary by separate requests.

5. File trial briefs on any controlling issues of law.

6. File proposed verdict forms, joint or separate.

7. File and serve any objections to exhibits.

8. File a joint simplified Statement of the Case to be read to the jury during voir dire as part of the proposed jury instructions. Unless the case is extremely complex, this statement should not exceed one page.

B. Any motions in limine shall be submitted as follows: at least twenty (20) calendar days before the conference, the moving party shall serve, but not file, the opening brief. At least ten (10) calendar days before the conference, the responding party shall serve the opposition. There will be no reply. When the oppositions are received, the moving party should collate the motion and the opposition together, back-to-back, and then file the paired sets at least seven (7) calendar days before the conference. Each motion should be presented in a separate memorandum and properly identified, for example, “Plaintiff’s Motion in Limine No. 1 to Exclude” Each party is limited to bringing five motions in limine. The parties are encouraged to stipulate where

possible, for example, as to the exclusion of witnesses from the courtroom. Each motion should address a single, separate topic, and contain no more than seven pages of briefing per side.

C. Hard-copy courtesy copies of the above documents shall be delivered by NOON the day after filing. The Joint Proposed Final Pretrial Order, jury instructions, and verdict form shall also be submitted via e-mail as Word attachments to jsc_settlement@cand.uscourts.gov. The Court requests that all hard-copy submissions be three-hole-punched.

V. PRETRIAL ARRANGEMENTS

A. Should a daily transcript and/or real-time reporting be desired, the parties shall make arrangements with Kristen Melen, Supervisor of the Court Reporting Services, at (415) 522-2079, at least ten (10) calendar days prior to the trial date.

B. During trial, counsel may wish to use overhead projectors, laser-disk/computer graphics, poster blow-ups, models, or specimens of devices. Equipment should be shared by all counsel to the maximum extent possible. The Court provides no equipment other than an easel. The United States Marshal requires a court order to allow equipment into the courthouse. For electronic equipment, parties should be prepared to maintain the equipment or have a technician handy at all times. The parties shall tape extension cords to the carpet for safety. The parties may work with the deputy clerk, Ada Means (415-522-2015), on all courtroom-layout issues.

SCHEDULING

Trial will be conducted from 8:30 a.m. to 2:30 or 3:00 p.m., depending on the availability of witnesses, Monday through Friday. Counsel must arrive by 8:15 a.m., or earlier as needed, for any matters to be heard out of the presence of the jury. The jury will be called at 8:30 a.m.

THE JURY

The Jury Office asks prospective jurors to complete an online jury questionnaire in advance of their summons date. The standard questionnaire available on the Northern District's website at http://www.cand.uscourts.gov/wp-content/uploads/attorneys/Internet-version_Standard-CAND-Trial_SurveyMonkey_3-2022.pdf. The parties are allowed to add 10 case specific questions to the questionnaire. The parties shall meet and confer, and to the extent possible, file a joint list of proposed questions to add to the questionnaire. To the extent that the parties cannot

1 agree, they may submit separate proposed questions from which the Court will choose 10. The
2 parties joint or separate submissions are due 21 days before trial. The Court will provide the
3 parties with the survey responses the Friday before trial.

4 In civil cases, there are no alternate jurors and the jury is selected as follows: Eighteen to
5 twenty jurors are called to fill the jury box and the row in front of the bar, and are given numbers
6 (1 through 20). The remaining potential jurors will be seated in the public benches. Hardship
7 excuses will usually be considered at this point. Counsel may conduct a limited voir dire.
8 Challenges for cause will then be addressed out of the presence of the potential jurors. The Court
9 will consider whether to fill in the seats of the stricken jurors. If so, questions will be asked of the
10 additional jurors and cause motions as to them will be considered. After a short recess, each side
11 may exercise its allotment of peremptory challenges out of the presence of the potential jurors.
12 The eight (or such other size as will constitute the jury) surviving the challenge process with the
13 lowest numbers become the final jury. If more (or fewer) than eight jurors are to be seated, then
14 the starting number will be adjusted. So too if more than a total of six peremptories are allowed.
15 Once the jury selection is completed, the jurors' names will be read again and they will be seated
16 in the jury box and sworn. The Court may alter this procedure in its discretion and after
17 consultation with the parties.

18 **WITNESSES**

19 At the close of each trial day, all counsel shall exchange a list of witnesses for the
20 next two full court days and the exhibits that will be used during direct examination (other than
21 for impeachment of an adverse witness). Within 24 hours of such notice, all other counsel shall
22 provide any objections to such exhibits and shall provide a list of all exhibits to be used with the
23 same witness on cross-examination (other than for impeachment). The first notice shall be
24 exchanged prior to the first day of trial. All such notices shall be provided in writing.

25 **EXHIBITS**

26 A. Prior to the Final Pretrial Conference, counsel must meet and confer in person to
27 consider all exhibit numbers and objections and to eliminate duplicate exhibits and confusion over
28 the precise exhibit.

G. Exhibit notebooks for the jury will not be permitted without prior permission from the Court. Publication must be by poster blow-up, overhead projection, or such other method as is allowed in the circumstances. It is permissible to highlight, circle or underscore in the enlargements as long as it is clear that it was not on the original.

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As the trial progresses and the evidence is heard, the Court will fashion a comprehensive set of jury instructions to cover all issues actually being tried. Prior to the close of the evidence, the Court will provide a draft final charge to the parties. After a reasonable period for review, one or more charging conferences will be held at which each party may object to any passage, ask for modifications, or ask for additions. Any instruction request must be renewed specifically at the conference or it will be deemed waived, whether or not it was requested prior to trial. If, however, a party still wishes to request an omitted instruction after reviewing the Court's draft, then it must affirmatively re-request it at the charging conference in order to give the Court a fair opportunity to correct any error. Otherwise, as stated, the request will be deemed abandoned or waived.

IT IS SO ORDERED.

Dated: September 6, 2024


JACQUELINE SCOTT CORLEY
United States District Judge